Cell-based therapies for COVID-19: A living, systematic review

Terapias celulares para el COVID-19: Una revisión sistemática viva

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Abstract

Objective

This living, systematic review aims to provide a timely, rigorous, and continuously updated summary of the available evidence on the role of cell-based therapies in the treatment of patients with COVID-19.

Data sources

We conducted searches in PubMed/Medline, Embase, Cochrane Central Register of Controlled Trials (CENTRAL), grey literature, and in a centralized repository in L·OVE (Living OVerview of Evidence). L·OVE is a platform that maps PICO questions to evidence from the Epistemonikos database. In response to the COVID-19 emergency, L·OVE was adapted to expand the range of evidence it covers and customized to group all COVID-19 evidence in one place. All the searches covered the period until 23 April 2020 (one day before submission).

Eligibility criteria for selecting studies and methods We adapted an already published standard protocol for multiple parallel systematic reviews to the specificities of this question. We searched for randomized trials evaluating the effectiveness and safety of cell-based therapies versus placebo or no treatment in patients with COVID-19. Anticipating the lack of randomized trials directly addressing this question, we also searched for trials evaluating other corona-

virus infections, such as MERS-CoV and SARS-CoV, and nonrandomized studies in COVID-19. Two reviewers independently screened each study for eligibility. A living, web-based version of this review will be openly available during the COVID-19 pandemic. We will resubmit this review to a peer-reviewed journal every time the conclusions change or whenever there are substantial updates.

Results

We screened 1 043 records, but no study was considered eligible. We identified 61 ongoing studies, including 39 randomized trials evaluating different types of cell-based therapies in COVID-19.

Conclusions

We did not find any studies that met our inclusion criteria, and hence there is no evidence to support or refute the use of cell-based therapies for treating patients with COVID-19. A substantial number of ongoing studies should provide valuable evidence to inform researchers and decision-makers in the near future.

PROSPERO Registration number

CRD42020179711

Box 1 - Linked resources in this Living Systematic Review

Common protocol

The standard protocol for the systematic reviews and overviews of systematic reviews conducted by the COVID-19 L·OVE Working Group. Available here

Living review

The web version of this systematic review, presented in a 'living systematic review format.' This means it is continuously updated as soon as new evidence emerges. Available here

Living OVerview of Evidence - L·OVE

An open platform that uses artificial intelligence and a broad network of contributors to identify all of the evidence relevant to this and other healthcare questions, including those related to COVID-19. <u>Available here</u>

Introduction

COVID-19 is an infection caused by the SARS-CoV-2 coronavirus¹. It was first identified in Wuhan, China, on 31 December 2019². By 23 April 2020, the number of confirmed COVID-19 cases had reached 2 761 121, with 193 671 confirmed deaths³. On 11 March 2020, the WHO characterized the COVID-19 outbreak as a pandemic¹.

While the majority of cases result in mild symptoms, some might progress to pneumonia, acute respiratory distress syndrome, and death⁴⁻⁶. The case fatality rate reported across countries, settings, and age groups is highly variable, but it ranges from about 0.5% to 10%7. In some studies it has been reported to be higher than 10% in hospitalised patients⁸.

Cell-based therapy is a treatment in which viable nucleated cells are injected, grafted, or implanted into a patient to obtain a medicinal effect. It includes different kinds of therapies with different possible effects. For example, stem-cells can be used for regenerative purposes and effector cells, like T-Cell/NK lymphocytes, for targeted immune activity and mesenchymal stem cells as an anti-inflammatory treatment. Of the previously mentioned treatments, Adoptive T Cell Therapy Strategy has been successfully used against cytomegal-ovirus infection in the transplant setting. However, this treatment presents several difficulties because it requires T-cells with specific activity against the virus from a patient's relative, which means having a recovered patient, and this process usually takes longer than a week. On the other hand, mesenchymal stem cells seem to be more promising because cell processing is speedy and does not require a specific donor.

Mesenchymal stem cells exhibit a capacity for homing to injury sites and inflammation areas where they exert anti-inflammatory and immunomodulatory effects⁹. They can affect the status of T cells and skew them towards a regulatory phenotype, and they also interact with B cells, inhibiting B cell response¹⁰. Moreover, these cells are the primary type of cell-based therapy proposed in the context of COVID-19. However, in animal experimental models of severe influenza, mesenchymal stem cells, in a prophylactic or therapeutic

regimen, failed to decrease pulmonary inflammation and do not improve survival¹¹. Their efficacy for SARS-COVS2 infection is unknown

Preclinical studies in COVID-19 patients suggest that mesenchymal stromal cells would be able to reduce inflammation¹². Findings from an observational study support this hypothesis in that they express anti-inflammatory and trophic factors and decrease C-reactive protein, tumor necrosis factor-alpha, and cytokine-secreting immune cells¹³.

Consequently, it is expected that these cells could attenuate the overactivation of the immune system and support repair by modulating the lung microenvironment after SARS-CoV-2 infection. Some small studies in humans and newspaper reports have sparked global interest in this treatment, but high-quality evidence is still lacking¹⁴.

Using innovative and agile processes, taking advantage of technological tools, and resorting to the collective effort of several research groups, this living, systematic review aims to provide timely, rigorous, and continuously updated summary of the available evidence on the role of cell-based therapies in treating patients with COVID-19.

Methods

This manuscript complies with the 'Preferred Reporting Items for Systematic reviews and Meta-Analyses' (PRISMA) guidelines for reporting systematic reviews and meta-analyses¹⁵ (see Appendix 1 - PRISMA Checklist).

A protocol stating the shared objectives and methodology of multiple evidence syntheses (systematic reviews and overviews of systematic reviews) to be conducted in parallel for different questions relevant to COVID-19 was published elsewhere 16. The review was registered in PROSPERO with the number CRD42020179711, and a detailed protocol was uploaded to a preprint server 17.



Search strategies

Electronic searches

Our literature search was devised by the team maintaining the L-OVE platform, using the following approach:

- 1. Identification of terms relevant to the population and intervention components of the search strategy, using Word2vec technology¹⁸ to the corpus of documents available in the Epistemonikos Database.
- 2. Discussion of terms with content and methods experts to identify relevant, irrelevant, and missing terms.
- 3. Creation of a sensitive boolean strategy encompassing all the relevant terms
- 4. Iterative analysis of articles missed by the boolean strategy, and refinement of the strategy accordingly.

Our main search source was the <u>Epistemonikos database</u>, a comprehensive database of systematic reviews and other types of evidence¹⁹ that we have supplemented with information coming from 35 sources relevant to COVID-19. The list of sources that have been added to the Epistemonikos Database is continuously expanded. This list of sources regularly screened by Epistemonikos for COVID-19 is <u>updated regularly in our website²⁰</u>.

We conducted additional searches using highly sensitive searches in PubMed/MEDLINE, the Cochrane Central Register of Controlled Trials (CENTRAL) and Embase.

The searches in Epistemonikos are continuously updated²⁰but were last checked the day of submission of this article (24 April 2020). The additional searches were updated on 23 April 2020 and covered the period from each database's inception date. No study design, publication status, or language restriction were applied to the searches in Epistemonikos or the additional electronic searches.

The following strategy was used to search in the Epistemonikos Database. We adapted it to the syntax of other databases (see Appendix 2 - Search strategies).

((coronavir* OR coronovirus* OR "corona virus" OR "virus corona" OR "corono virus" OR "virus corono" OR hcov* OR "covid-19" OR covid19* OR "covid 19" OR "2019-nCoV" OR cv19* OR "cv-19" OR "cv 19" OR "n-cov" OR ncov* OR "sars-cov-2" OR "sars-cov2" OR "SARS-Coronavirus-2" OR "SARS-Coronavirus2" OR (wuhan* AND (virus OR viruses OR viral)) OR (covid* AND (virus OR viruses OR viral)) OR "sars-cov" OR "sars cov" OR "sarscoronavirus" OR "severe acute respiratory syndrome" OR "merscov" OR "mers cov" OR "middle east respiratory syndrome" OR "middle-east respiratory syndrome" OR "covid-19-related" OR "SARS-CoV-2-related" OR "SARS-CoV2-related" OR "2019nCoV-related" OR "cv-19-related" OR "n-cov-related")) AND ("cell therapy" OR "cell therapies" OR "cell-therapy" OR "cell-therapies" OR "mesenchymal cell" OR "mesenchymal cells" OR MSC OR MSCs OR HMSC* OR stemstromal* OR stromalstem* OR nestcell* OR ((mesenchymal* OR "tissue-derived" OR "derivedmesenchymal") AND (stromal* OR stem OR multipotent* OR progenitor*)) OR (medicinal* AND signalling* AND (cell OR cells)) OR (stromal* AND (stem OR multipotent*)) OR ("tissue-derived" AND mesenchymal*))

Other sources

In order to identify articles that might have been missed in the electronic searches, we proceed, if necessary, as follows:

- 1. We screened the reference lists of other systematic reviews.
- 2. We scanned the reference lists of selected guidelines, narrative reviews, and other documents.
- 3. We reviewed websites specializing in COVID-19.
- 4. We emailed the contact authors of all the included studies to ask for additional publications or data on their studies and other studies on the topic.
- 5. We conducted cross-citation searches in Google Scholar and Microsoft Academic, using each included study as the index reference.
- 6. We reviewed the reference list of each included study.

Eligibility criteria

Types of studies

This living review preferentially includes randomized trials. Nonrandomized studies are included if there is no direct evidence from randomized trials, or the certainty of the evidence for the critical outcomes resulting from the randomized trials is graded as low- or very low, and the certainty provided by the nonrandomized evidence grades higher than the one provided by the randomized evidence²¹. We exclude studies evaluating the effects on animal models or in vitro conditions.

Types of participants

We include trials assessing participants with COVID-19, as defined by the authors of the trials.

Whenever we find substantial clinical heterogeneity on how the condition was defined, we explore it using a sensitivity analysis. If we do not find direct evidence from randomized trials, or if the evidence from randomized trials provides low- or very low-certainty evidence for critical outcomes, we consider eligible randomized trials evaluating cell-based therapy in other coronavirus infections, such as MERS-CoV or SARS-CoV infections²¹.

Type of interventions

The interventions of interest are cell-based therapies obtained from any tissue, including mesenchymal stromal cells, hematopoietic stem cells, and any other therapy in which viable nucleated cells are injected, grafted, or implanted into a patient to obtain a medicinal effect. We do not restrict our criteria to any dosage, duration, timing, or route of administration.

The comparison of interest is placebo/sham (cell-based therapy plus optimal treatment versus placebo plus optimal treatment) or no treatment (cell-based therapy plus optimal treatment versus optimal treatment). Trials assessing cell-based therapy plus other interventions are eligible if the cointerventions are identical in both the intervention and the comparison groups. Trials evaluating cell-based therapy in combination with other active interventions versus placebo or no treatment are also eligible.



Type of outcomes

We do not use the outcomes as inclusion criteria during the selection process. Any article meeting all the criteria except for the outcome criterion is preliminarily included and assessed in full text. We used the core outcome set COS-COVID²², the existing guidelines and reviews, and the judgment of the authors of this review as an input for selecting the primary and secondary outcomes, as well as to decide upon inclusion. The review team regularly revises this list of outcomes to incorporate ongoing efforts to define Core Outcomes Sets (e.g., COVID-19 Core Outcomes)²³.

Primary outcome

All-cause mortality

Secondary outcomes

- Mechanical ventilation
- Extracorporeal membrane oxygenation
- Length of hospital stay
- Respiratory failure
- Serious adverse events
- Time to SARS-CoV-2 RT-PCR negativity

Other outcomes

- Acute respiratory distress syndrome
- Total adverse events

If we include at least one study, primary and secondary outcomes will be presented in the GRADE 'Summary of Findings' tables, and a table with all the outcomes is presented as an appendix²⁴.

Selection of studies

The literature search results in the Epistemonikos database are automatically incorporated into the L·OVE platform (automated retrieval). There, they are de-duplicated by an algorithm that compares unique identifiers (database ID, DOI, trial registry ID), and citation details (i.e., author names, journal, year of publication, volume, number, pages, article title, and article abstract). The additional searches are uploaded to the screening software Collaboratron^{TM25}.

In both L·OVE platform and CollaboratronTM, two researchers independently screen the titles and abstracts yielded by the search against the inclusion criteria. We obtain the full reports for all titles that appear to meet the inclusion criteria or require further analysis and then decide their inclusion. We record the reasons for excluding trials in any stage of the search and outline the study selection process in a PRISMA flow diagram that we adapted for this project's purpose.

Extraction and management of data

Using standardized forms, two reviewers independently extracted data from each included and ongoing study. We collect the following information: study design, setting, participant characteristics (including disease severity and age) and study eligibility criteria; details about the administered intervention and comparison, including the source of cells, dose, duration, and timing (i.e., time after diagnosis); the outcomes assessed and the time they were measured; the source of funding of the study and the conflicts of interest disclosed by the

investigators; the risk of bias assessment for each individual study. We resolve disagreements by discussion, and one arbiter adjudicates unresolved disagreements.

Risk of bias assessment

The risk of bias for each randomized trial is assessed using the 'risk of bias' tool (RoB 2.0: a revised tool to assess risk of bias in randomized trials) ²⁶. We consider the effect of assignment to the intervention for this review. Two reviewers independently assess five domains of bias for each outcome result of all reported outcomes and time points. These five domains are bias due to (1) the randomization process, (2) deviations from intended interventions (effects of assignment to interventions at baseline), (3) missing outcome data, (4) measurement of the outcome, and (5) selection of reported results. Answers to signaling questions and collectively supporting information lead to a domain-level judgment in the form of 'Low risk of bias', 'Some concerns', or 'High risk of bias'. These domain-level judgments inform an overall 'risk of bias' judgment for each result. Discrepancies between review authors are resolved by discussion to reach consensus. If necessary, a third review author is consulted to achieve a decision.

We assess the risk of bias of other study designs with the ROBINS-I tool (ROBINS-I: Risk Of Bias In Non-randomised Studies of Interventions)²⁷. We address the following domains: bias due to confounding, bias in the selection of participants into the study, bias in classification of interventions, bias due to deviations from intended interventions (effect of assignment to intervention), bias due to missing data, bias in the measurement of outcomes, and bias in the selection of the reported result. We judge each domain as low risk, moderate risk, serious risk, critical risk, or no information, and evaluate individual bias items described in ROBINS-I guidance. We do not consider time-varying confounding, as these confounders are not relevant in this setting²⁸.

We consider the following factors as potential baseline confounders:

- Age
- Comorbidities (e.g., cardiovascular disease, renal disease, eye disease, liver disease)
- Co-interventions
- Severity, as defined by the authors (i.e., respiratory failure vs. respiratory distress syndrome vs. ICU requirement).

Measures of treatment effect

We express the estimate of the treatment effect of an intervention as risk ratios (RR) or odds ratios (OR) along with 95% confidence intervals for dichotomous outcomes. We use the mean difference and standard deviation for continuous outcomes to summarize the data using a 95% confidence interval. Whenever continuous outcomes are measured using different scales, the treatment effect is expressed as a standardized mean difference with a 95% confidence interval. When possible, we multiply the standardized mean difference by a standard deviation that is representative from the pooled studies, for example, the standard deviation from a well-known scale used by several of the studies included in the analysis on which the result is based. In cases where the minimally important difference is known, we present continuous outcomes as minimally important difference



units or inform the results as the difference in the proportion of patients achieving a minimal important effect between intervention and control²⁸.

These results are then displayed on the 'Summary of Findings Table' as the mean difference²⁸.

Strategy for data synthesis

If we include more than one trial, we conduct a meta-analysis for clinically homogeneous studies using RevMan 5²⁹, using the inverse variance method with the random-effects model. For any outcomes where data are insufficient to calculate an effect estimate, a narrative synthesis is presented.

Subgroup and sensitivity analysis

We perform subgroup analysis according to the definition of severe COVID-19 infection (i.e., respiratory failure vs. respiratory distress syndrome vs. ICU requirement). If we identify significant differences between subgroups (test for interaction < 0.05), we report the results of individual subgroups separately.

We perform sensitivity analysis excluding the high risk of bias studies, and if nonrandomized studies are used, excluding studies that do not report adjusted estimates. In cases where the primary analysis effect estimates and the sensitivity analysis effect estimates significantly differ, we present the low risk of bias-adjusted sensitivity analysis estimates or the primary analysis estimates but downgrading the certainty of the evidence because of the risk of bias.

Assessment of certainty of the evidence

The certainty of the evidence for all outcomes is judged using the Grading of Recommendations Assessment, Development and Evaluation working group methodology (GRADE Working Group)[30], across the domains of risk of bias, consistency, directness, precision,

and reporting bias. Certainty is adjudicated as high, moderate, low, or very low. For the main comparisons and outcomes, we prepare Summary of Findings tables^{28,24}, and also interactive Summary of Findings tables. A Summary of Findings table with all the comparisons and outcomes is presented as an appendix.

Living evidence synthesis

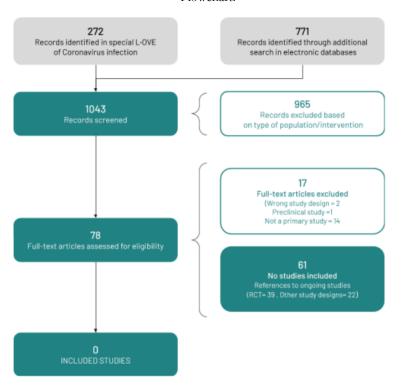
An artificial intelligence algorithm deployed in the Coronavirus/COVID-19 topic of the L·OVE platform provides instant notification of articles with a high likelihood of being eligible. The authors review them, decide upon inclusion, and update the living web version of the review accordingly. We expect to resubmit to a peerreviewed journal any time there is a change in the direction of the effect on the critical outcomes or a substantial modification to the evidence's certainty. This review is part of a larger project set up to produce multiple parallel systematic reviews relevant to COVID-1916

Results

Results of the search

We used a repository that includes searches in 34 trial registries, preprint servers, and websites specialized in COVID-19. We also conducted additional searches in three electronic databases and scanned the references of multiple guidelines, reviews, and other documents. The L·OVE platform search retrieved 272 records, and the additional searches retrieved a further 771 records (total records screened = 1 043). We considered 78 as potentially eligible and retrieved and evaluated their full texts. However, none of the studies were eligible for inclusion. The reasons for exclusion are described in Appendix 3 - List of relevant studies.

The study selection process is summarized in Figure 1 - PRISMA Flowchart.





Description of the studies

No completed study was considered eligible.

Ongoing studies

We identified 61 ongoing studies (39 randomized trials and 22 non-randomized studies).

See Appendix 3 - List of relevant studies.

Discussion

We performed a comprehensive search of the literature and did not find any randomized trials evaluating the effect of cell-based therapies in patients with COVID-19. Anticipating the lack of randomized trials, we also searched for nonrandomized, comparative studies in COVID-19, and for randomized trials evaluating other coronavirus infections, such as MERS-CoV and SARS-CoV. These additional searches provided no relevant studies either. In sum, we did not find any studies fulfilling the minimum requirements to inform decisions.

Systematic reviews are the gold standard to collect and summarize the available evidence regarding a scientific question. However, the traditional model for conducting reviews has several limitations, including high demand for time and resources³¹ and rapid obsolescence³². Amid the COVID-19 crisis, researchers should make their best effort to answer health decision-makers' urgent needs without giving up scientific accuracy. Information is being produced at a vertiginous speed³³, so alternative models are needed.

One potential solution to these shortfalls is rapid reviews: reviews that omit some of the traditional systematic review steps to move faster. Unfortunately, in these reviews, speed comes at the cost of quality³⁴. Furthermore, they do not solve the issue of obsolescence. Living systematic reviews do address that issue³⁵. They are continually updated by incorporating relevant new evidence as it becomes available at a substantial effort. So, an approach combining these two models might prove more successful in providing the scientific community and other interested parties with evidence that is actionable, rapidly and efficiently produced, up to date, and of the highest quality³⁶.

This review is part of a larger project set up to put such an approach into practice. The project aims to produce multiple parallel living systematic reviews relevant to COVID-19 following the higher quality standards in evidence synthesis production¹⁶. We believe our methods are well suited to handle the abundance of evidence that is to come, including evidence on the role of cell-based therapies in treating patients with COVID-19. We have identified multiple ongoing studies addressing this question, including 39 randomized trials, which will provide valuable evidence to inform researchers and decision-makers in the near future.

We found two systematic reviews, with a broad scope, addressing this article's question^{37,38}. Their conclusions are similar in terms of the lack of evidence available. So, the main limitation of the existing systematic reviews, including ours, results from the absence of evidence to inform decisions.

Nonetheless, decisions should be made even if the evidence is considered insufficient³⁹. While evidence about the potential benefit

emerges, decision-makers will have to ponder other relevant aspects⁴⁰.

We think the main factors that decision makers must put in the balance, in addition to the uncertainty of treatment effects (positive and negative), is the fact that until now, no theory could completely explain the mechanism by which cell therapy could have a positive impact on patient outcomes. Mesenchymal stem cells, the theoretically most promising cell therapy in the case of severe steroid-refractory inflammation, have not shown definitive positive results⁴¹. Researchers in this field should deepen our understanding of how cell-based therapies work and which specific therapy is the better option. We hope that the high number of studies expected to be completed in the next months will shed some light on these issues.

On the other hand, this treatment's high costs should also be considered when examining the equity implications of such a non-evidence-based decision.

During the COVID-19 pandemic, we will maintain a living, webbased, openly available version of this review, and we will resubmit the review to a peer-reviewed journal every time the conclusions change or whenever there are substantial updates. Our systematic review aims to provide a high-quality, up-to-date synthesis of the evidence useful for clinicians and other decision-makers.

Notes

Differences between protocol and review

Our original protocol intended to include studies evaluating mesenchymal stem cells, the main type of cell-based therapy proposed in the context of COVID-19. In consultation with experts in the field, we expanded our criteria to also ainclude other types of cell-based therapies.

Roles and contributions

GR conceived the standard protocol for all the reviews being conducted by the COVID-19 L·OVE Working Group. GR drafted the manuscript, and all other authors contributed to it. The corresponding author is the guarantor and declares that all authors meet authorship criteria and that no other authors meeting the criteria have been omitted.

Epistemonikos and several expert teams created the COVID-19 L·OVE Working Group to provide decision-makers with the best evidence related to COVID-19. Up-to-date information about the group and its member organizations is available here.

Acknowledgments

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Competing interests

All authors declare no financial relationships with any organization that might have a real or perceived interest in this work. There are no other relationships or activities that might have influenced the submitted work.

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PROSPERO registration number

CRD42020179711

Ethics

As researchers will not access information that could lead to identifying an individual participant, obtaining ethical approval was waived.

Data sharing

All data related to the project will be available. Epistemonikos Foundation will grant access to data.

Appendix 1

Appendix 1.

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