# How the COVID-19 pandemic affects specialty training: An analysis of a nationwide survey among otolaryngology residents in Chile

Matías L Álvarez<sup>1</sup>, Sofia Waissbluth<sup>1</sup>, Claudia González<sup>1</sup>, Carla Napolitano<sup>1</sup>, Mariela Torrente<sup>1</sup>, Paul H Délano<sup>1</sup>, Ricardo Alarcón<sup>1</sup>, Francisca Fernández<sup>1</sup>, Roger Bitrán<sup>1</sup>

- <sup>a</sup> Departamento Otorrinolaringología, Facultad de Medicina, Pontificia Universidad Católica de Chile, Santiago, Chile
- <sup>b</sup> Departamento Otorrinolaringología, Facultad de Medicina, Universidad de Chile, Santiago, Chile
- c Centro Avanzado de Ingeniería Eléctrica y Electrónica, AC3E, Universidad Técnica Federico Santa María, Valparaíso, Chile
- d Departamento Otorrinolaringología, Facultad de Medicina, Universidad de Concepción, Concepción, Chile
- <sup>e</sup> Departamento Otorrinolaringología, Facultad de Medicina, Universidad de Valparaíso, Valparaíso, Chile
- f Departamento Otorrinolaringología, Facultad de Medicina, Universidad de Católica del Norte, Coquimbo, Chile

\*Corresponding author sofia.waissbluth@gmail.com

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# **Abstract**

#### Introduction

Coronavirus disease 2019, or COVID-19, has become a global pandemic. Given that the highest viral load of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) is found in the airway, otolaryngologists are at high risk of infection. As a result, multiple recommendations have emerged regarding protective measures for surgical teams, including suspending non-urgent procedures and surgeries.

#### **Objectives**

To evaluate the impact of the COVID-19 pandemic on otolaryngology residency training programs nationwide.

## Methods

A cross-sectional survey-based study was completed in April 2020. The participants were recruited through an online survey, sent by email to all Chilean otolaryngology residents. Demographics, clinical activities, on-call shifts, COVID-19 infection status, exposure to COVID-19 patients, deployment to other specialties, diagnostic/therapeutic procedures, and surgeries performed were analyzed. Self-reported surgical data logs from previous years were used to compare results.

## Results

Forty-seven residents completed the survey (84% response rate); 64% of residents refer seeing patients ten days or less during April 2020. Commonly performed procedures such as flexible nasolaryngoscopy, rigid nasal endoscopy, and peritonsillar abscess drainage were not performed by over 40% of the residents in

that month. Only 38% participated in surgeries, with an average of 0.6 surgeries as a first surgeon, a dramatic decrease in surgical exposure when comparing the data logs from previous years. Most residents refer the following measures taken by their residency program to improve residency training: bibliographic videoconferences (87%), online clinical case seminars (60%), weekly journal clubs (38%), among others.

## Conclusions

Clinical and surgical opportunities decreased dramatically during April 2020. Adjustments to the regular academic curricula should be considered to decrease the negative impact of this pandemic on residency training.



## Main messages

- At the time of preparation of this study, no articles reported the effect of the COVID-19 pandemic on medical residents' training in Chile.
- This study presents the objective decrease in Chilean otolaryngology residents' procedures and surgeries due to the COVID-19 pandemic.
- A limitation of this study is the one-month follow-up during the pandemic.
- Other limitations are the absence of comparative data on functional endoscopic surgery and head and neck oncologic surgery due to incomplete historical self-reported data in these two clinical areas.

## Introduction

On March 11, 2020, the World Health Organization declared the coronavirus disease (COVID-19), caused by the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), as a global pandemic<sup>1</sup>. Given the high airborne viral load<sup>2</sup>, otolaryngologists are at a high risk of infection as multiple procedures involve the airway, such as rigid nasal endoscopy, flexible nasolaryngoscopy, anterior nasal packing, tracheostomy, among others. These procedures can also cause aerosolization<sup>3,4</sup>.

As a result, guidelines have been created regarding the protective measures for performing these procedures, including suspending elective procedures and surgeries, minimizing on-call personnel, establishing resident rotation cohorts, and having the most experienced surgeon perform the procedure in the shortest time possible<sup>5-</sup>

Taking the above into consideration, and the fact that most otolaryngology outpatient clinics, procedures, and surgeries are optional, we suspected that the COVID-19 pandemic would negatively impact residency training due to the significantly reduced clinical and surgical opportunities<sup>9</sup>.

To the best of our knowledge, as of this study's date, no articles describe the effect of the COVID-19 pandemic on residents' educational opportunities in Chile.

This study aims to explore the impact of COVID-19 on the otolaryngology residency training programs nationwide and describe the decrease in surgical exposure.

## **Methods**

## Clinical opportunity survey

We performed a cross-sectional survey-based study evaluating April 1 to April 30, 2020. There are currently five otolaryngology programs in Chile. Subjects were recruited through a 16-question Google form sent by email to all Chilean otolaryngology residents; the program directors provided contact information.

We obtained demographic data, post-graduate year, and the following information for April 2020: clinical activities, on-call shifts, COVID-19 infection status, exposure to COVID-19 patients, deployment to other specialties, diagnostic/therapeutic procedures performed, and surgeries (first and second surgeons only). We defined COVID-19 positive patients as confirmed by a reverse tran-

scription-polymerase chain reaction and suspected cases when results were pending. Survey response was open from May 11 to May 18, 2020.

## Clinical data logs

Historical data logs for specialty diagnostic and therapeutic procedures were only available from student self-reported data logs provided by one residency program from 2017 to 2019: Pontificia Universidad Católica de Chile. Meanwhile, surgeries performed by residents from years prior were only available from student self-reported data logs provided by two residency programs: Pontificia Universidad Católica de Chile and Universidad de Chile, with data from 2017 to 2019 and 2006 to 2016, respectively. Both data logs consisted of procedures or surgeries registered by the residents after their execution. No determination of sample size was required, given the nature of the study.

## Data analysis and statistics

This study was approved by the Pontificia Universidad Católica de Chile Ethics Committee (protocol number: 200501001) on May 9, 2020. Electronic informed consent was obtained from all participants before data collection. The data collection was carried out anonymously in an encrypted database. When presenting normal distribution, the descriptive statistics will be expressed with mean and standard deviation. Otherwise, the median was used. The chisquared test was performed for categorical variables. The means obtained were compared using the student's T-test. Any P value  $\leq 0.05$  (significance of 5%) was considered significant.

## Results

Forty-seven residents answered the survey, corresponding to an 84% response rate and a mean age of  $29.7 \pm 3.2$  years (range 25-35). Demographic details are shown in Table 1. Six percent of residents were placed initially in preventive quarantine as they belonged to a high-risk group for SARS-CoV-2 infection; 50% (n = 23) provided medical care to a confirmed or suspected COVID-19 patient during April 2020; of them, only 13% (n = 6) needed to undergo preventive quarantine; and one resident tested positive. The average suspected/confirmed patients evaluated per resident was  $8.1 \pm 8.7$  (range 1-30 patients). Thirteen percent (n = 6) of the residents were deployed to a different department as needed by the health care center, with internal medicine being the most frequent (n = 5/6).



**Table 1.** Demographic data of otolaryngology head and neck surgery residents (n = 47).

Characteristic	Percent (n)	% response over all possible subjects
Gender		
Male	64% (30)	88% (34)
Female	36% (17)	77% (22)
Program		
UCh	53% (25)	76% (33)
PUC	26% (12)	100% (12)
UCo	13% (6)	100% (6)
UV	6% (3)	75% (4)
UCN	2% (1)	100% (1)
Residency year		
PGY1	40% (19)	91% (21)
PGY2	32% (15)	78% (19)
PGY3	28% (13)	81% (16)

UCh: Universidad de Chile, PUC: Pontificia Universidad Católica de Chile, UCo: Universidad de Concepción, UV: Universidad de Valparaíso, UCN: Universidad Católica del Norte, PGY: postgraduate year.

Source: Prepared by the authors from the study data.

## Clinical activity

Clinical activities during April 2020 are summarized in Table 2; 65% of the residents spent less than ten days of the month at their corresponding medical health care center, and 68% examined less than eleven patients in outpatient clinics.

**Table 2.** Clinical activity level of otolaryngology head and neck surgery residents during April 2020 (n = 47).

Variable	Percent (n)
Medical center attendance	
0 to 4 days	20% (9)
5 to 10 days	45% (21)
11 to 15 days	23% (11)
16 to 20 days	6% (3)
All working days	6% (3)
On call shifts	
Yes	49% (23)
No	51% (24)
Patients examined in outpatient clinics	
0	30% (14)
1 to 10	38% (18)
11 to 20	11% (5)
21 to 30	15% (7)
>31	6% (3)
Inpatients evaluated	
0	15% (7)
1 to 7	30% (14)
8 to 14	30% (14)
15 to 20	9% (4)
>21	17% (8)

Source: Prepared by the authors from the study data.

Regarding commonly performed procedures, only 55% of the residents performed flexible nasolaryngoscopy, 34% rigid nasal endoscopy, and 21% peritonsillar abscess drainage as seen in Table 3. When analyzing the data obtained from the Pontificia Universidad Católica de Chile residency program, there was a significant decrease in the average procedure per resident for flexible nasolaryngoscopy (13.1  $\pm$  7.5 vs 1.4  $\pm$  1.5, P = 0.001), rigid nasal endoscopy (3.6  $\pm$  2.9 vs 0.4  $\pm$  0.7, P = 0.001), anterior nasal cauterization (0.8  $\pm$  0.5 vs 0.1  $\pm$  0.3, P = 0.002), and fiberoptic endoscopic evaluation of swallowing (3.6  $\pm$  2 vs 0  $\pm$  0, P = 0.000).

**Table 3.** Quantity of procedures and surgeries performed by the residents during April 2020.

Procedure	Percent (n*)
Flexible Nasolaryngoscopy	
0	45% (21)
1-3	36% (17)
>4	19% (9)
Rigid nasal endoscopy	
0	66% (31)
1-3	34% (16)
Peritonsillar abscess drainage	
0	79% (37)
1-3	21% (10)
Tracheostomy tube change	
0	49% (23)
1-3	43% (20)
>4	8% (4)
Ear Foreign body removal	
0	56% (26)
1-3	36% (17)
>4	8% (4)
Surgery	Percent (n*)
Tonsillectomy as first surgeon	
0	100% (47)
Maxillary antrostomy as first surgeon	
0	96% (45)
1	4% (2)
Ethmoidectomy as first surgeon	
0	92% (43)
1	8% (4)
Tracheostomy as first surgeon	
0	87% (41)
1	13% (6)
Septoplasty as first surgeon	
0	100% (47)
Tympanoplasty as first surgeon	
0	100% (47)
Microlaryngoscopy as first surgeon	
0	98% (46)
1	2% (1)
Neck dissection as first surgeon	
0	98% (46)
0	2% (1)

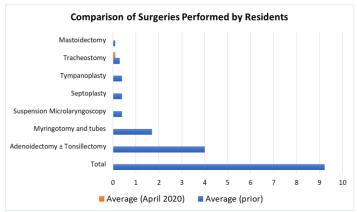
Source: Prepared by the authors from the study data.

#### Surgical activity

Of the residents surveyed, 62% (n = 29) did not participate in surgery; of the 18 that did participate, 67% (n = 12) performed as first surgeon, with an average of  $0.6 \pm 1.2$  (range 0-5) surgeries per resident. The most commonly performed surgery was open tracheostomy (n = 6) followed by ethmoidectomy (n = 4), as seen in Table 3. As shown in Figure 1, we observed a dramatic decrease in surgical exposure when comparing data obtained from the Pontificia Universidad Católica de Chile and the Universidad de Chile.



Figure 1. Comparison of Surgeries Performed by Residents.



Comparison of surgeries performed monthly as first surgeon at Universidad de Chile and Pontificia Universidad Católica de Chile combined between April 2020 (n = 37) and the historical trend from previous years.

Source: Prepared by the authors from the study data.

#### **Educational tools**

Residents were asked to provide information relating to measures taken by their residency program in order to promote continuing education and distance learning. Most residents reference bibliographic videoconferences (87%, n = 41), and online clinical case seminars (60%, n = 28). Other measures included weekly journal clubs (38%, n = 18), bibliographical reviews (34%, n = 16), online surgical skills video analysis (15%, n = 7), simulation opportunities (17%, n = 8), and others (8%, n = 4).

## Discussion

In this survey, we describe how the pandemic is undermining the education of residents in otolaryngology by reducing clinical and surgical opportunities. This was reflected in the procedures performed, with a significant decrease in flexible nasolaryngoscopy, rigid nasal endoscopy, and others. Furthermore, we believe that the most critical item affected is exposure to surgical opportunity; only 38% of the residents were involved in the operating room, and just 25% performed as the first surgeon for the entire month. We evaluated whether there was an association regarding the post-graduate year and being the first surgeon, but this was not significant (P = 0.0631). We believe that some of the factors that are possibly involved in the pandemic's impact include the number of residents per hospital, mandatory quarantines depending on the city, and the population size for the different hospitals.

As it is uncertain when the pandemic will end, attention must be paid to provide residents with educational opportunities, especially regarding surgical skills. Some of the otolaryngology departments' measures during April included videoconferences (bibliographical, case seminars, weekly journal clubs), bibliographical reviews, online surgical skills video analysis, and simulation opportunities.

At the moment our survey was completed, there were 65.393 cases <sup>10</sup>; we suspect that our activity level will be further affected as the peak of infection was in June. As many institutions are still restricting outpatient clinics, we do not know how deeply this pandemic will impact our residency programs, we believe that measures should be taken by our sanitary authorities in order to insure a complete and

proficient education of the current cohort of residents, also considering that otolaryngology is one of many surgical specialties that are most likely to be affected by the decrease in surgical cases.

We hereby describe the first experience showing an objective decrease in clinical and surgical activity secondary to the COVID-19 pandemic. There are concerns worldwide relating to postgraduate education and safety, Cai et al<sup>11</sup> performed a survey study evaluating 82 residents from 51 programs, and showed that 98% of the American programs enacted policy changes including a reduction in resident staffing surgeries, filtered respirator use for aerosol-generating procedures, and reduced frequency of tracheostomy care. Even though most of the residents surveyed felt satisfied with their department's measures, they felt they were at greater risk than the attendants to get infected. However, procedures and surgeries performed were not evaluated.

This study's limitations include the short period of evaluation (one month), lack of follow-up during the pandemic, and the lack of data regarding comparisons for functional endoscopic sinus surgery and head and neck oncology, because of incomplete data logs in those specific areas.

## **Conclusions**

The COVID-19 pandemic is a new, aggressive, and long-lasting problem. There is no certainty regarding how deeply it will affect residency training. We show a sharp decrease in exposure to patients, procedures, and surgeries in otolaryngology. Further measures and close follow-up are required to cope with this ongoing adversity. Residency programs, with the support of the sanitary authorities, need to modify their current curricula to ensure resident safety and educational opportunities during the COVID-19 pandemic.

## Notes

#### Appendix

Appendix: Survey "Education opportunities in the Otolaryngology training program during the COVID-19 Pandemic".

#### Roles and contributions of authorship

MLA, SW, MT, PHD: conceptualization, methodology, data analysis, writing and critical review of the manuscript, final approval. CG, CN, RA, FF, RB: participated in conceptualization, methodology, writing and critical review of the manuscript, final approval.

#### Conflicts of interest

The authors declare that they have no competing interests.

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#### Ethics approval

This study was approved by the Pontificia Universidad Católica de Chile Ethics Committee (protocol number: 200501001) on May 9, 2020.

## Data analysis

The authors declare their willingness to share the study data upon request.

#### From the editors

The original version of this manuscript was submitted in Spanish. This English version was submitted by the authors and has been lightly copyedited by the Journal.

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Correspondence to Diagonal Paraguay 362, 7° piso Santiago, Chile



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